# CHAPTER ONE INTRODUCTION

#### 1.1 Background of Study

Literature is a product of creative thinking of human being with aesthetic and artistic aspect. It is an expression of author's feeling and as valuable art. Wilbur (1962: 24) said that literature is an expression of society. It means that literature is not only fictional work which pure of imagination but it might also inspired from reality which shows the real condition of the human life. Wellek and Warren (1978:20) in their book *Theory of Literature* further state:

"Literature is an expression of society, if it assumes that literature, at any given time, mirrors the current social situation 'correctly' it is false: it is commonplace, trite and vague if it means only that literature depicts some aspect of social reality."

It means that in literature, like novel is used commonly as the picture of the real life condition that describes problems that happen in society through characters inside novel. Characters that supported with any personalities and various self-concepts in many things would attract many attentions of readers rather than other elements of novel. Therefore, the successes of author present stroy in a novel can reflect through act of expresing each story. One of them is depictory of characters called as characterization. Generally, the problem of characterization in novel not only concerns with the problem of personality type but also how to potray the presence and personality creation of characters properly, so that able to support the goal of artistic in that novel, in which a best author will show delineation technique of various personalities of characters, thus it makes the story more interested and chalanging to be discussed. According to Huffman & Vernoy

(2000: 464), personality is an individual's unique and relatively stable pattern of thoughts, feelings, and actions that defines you as a person how you are different from other people. When talking about personality, it cannot be avoided conception that shows an individual's character traits as his or her special identity in interacting with his or her society.

Therefore, to know personality of characters in novel, reader should able to distinguish between trait and state. A trait is a relatively permanent individual characteristic, for example, most of people know who are friendly, confident, or shy, so when describes that person, an author uses these traits to better understand his or her personality. Meanwhile, state is a temporary change in one's personality, for example states might be angry, depressed, fearful or anxious. These states typically are used to describe a person's reaction to something. Every individu has different personality, it is caused by certain factors, such as decline, environment, social, family, etc, which the personality exist because human itself that bulid it through his or her traits.

Based on Riso (1987:344) having the test in knowing the characteristic of personality type called as Application of Enneagram which it is divided into nine types of personalities of human, that are reformer, helper, status seeker, artist, thinker, loyalist, generalist, leader and peacemaker. The Enneagram is a powerful gateway to self-awarness and understanding of others. It describes the structure and dynamics of the nine personality types, opening a path to a more integrated and rewarding life. An author usually potrays characters in novel by looking for the nine personality types, because they exist in every people in facing internal or

## Universitas Teknokrat Indonesia

external conflicts. Based on the application of Enneagram can be seen the personality development of characters that used in novel, whether the personality is healthy or positive or unhealthy or negative, since the personality of human as character that used in novel can change along with growing the character's age (Riso, 1987).

Because of the fact, the writer was interested to conduct the research, especially dealing with analysis of personality type and personality development, because understanding personality of someone means trying to discover or improve self, so it is very useful to control self-awarness of people. The personality is dynamic, which one personality can change because of certain factors that may come from themselve, like desire and experience or may also come from external factor, like environment. That is why, a person may experience a process of development toward his or her personality, which it is reflected through people's traits or ways of how people behave in where they are. Therefore, in this case, the writer would like to analyze personality type and personality development of people further through one of Paulo Coelho's best selling novel, that is *The Alchemist*. *The Alchemist* is a novel that is written by Brazilian novelist, Paulo in 1988, which is the original novel presented in Portuguese language, but it has been translated into English version.

The writer chose this novel as the object of this research because by reading this novel, readers can know how desire influences one personality and how the personality can change as one sosializes with others, where people are in

## Universitas Teknokrat Indonesia

particular environment, his or her personality are influenced by the condition people are living in, but it can change people meet new environment, like in Santiago as main character in this novel, which Santiago as wanderer has many influenced to his personality, when exploring many cities. That is why, the writer wants to analyze the personality type and personality development of Santiago.

The Alchemist tells the story about Santiago, an Andalucía shepherd who has dreams and courage to follow his dream. He travels from Andalusia to Egypt to find treasure. Santiago meets many people who help his journey that is an old gipsy, the merchant, Melchizedek, Shopkeeper, Englishman, Fatima, and the Alchemist. Santiago characterized as a kind, brave, and sympathetic man in that story, it shows that he has good personality, but because many problems or difficulties that he faced changed his personality. Paulo portrays about Santiago who has passion and hard struggle find his personal legend or his destiny of life and that is makes the writer eager to analyze Santiago's personality type and personality development. This novel is very valuable stroy to be read by people, because it presents many moral values, realistic not imaginative, so reader can compare characters in that novel to people who live in the real world as the lesson to improve reader's personality to be better.

Besides that, Atkinson and Hilgard in their book *Introduction to Psychology* stated that:

"When psychologist talks about personality, they are concerned primarily with individual differences the characteristics that distinguish one individual from another. Psychologists do not agree on exact definition of personality (1981:383)".

It means that personality is a part of psychological study, which human being is used as the object. The personality is the typical characteristics or traits representing a person's behaviour, self-concept and mentality. Therefore, the personality can be as the material in understanding human's psychology. In this research, the writer applied psychoanalysis approach, since the personality type and personality development of characters that used in the novel *The Alchemist* has relation how the character behave and how the traits of character in facing any conflicts that happenned in that novel, thus it build two or more personalities. In order to know the type of personalities and developments, the writer applied Riso's theory (1987) that is by using application of Enneagram theory of human's personality. The writer used that theory because it is very relevant to answer research questions. Based on the explanation above, the writer is going to conduct a research to analyze the personality type and personality development of the main character in Paulo Coelho novel entitled "Santiago's Personality Type and Personality Development in Paulo Coelho's *The Alchemist*".

#### **1.2 Research Question**

According to background of the study stated above, the writer formulates the research questions, they are:

- 1. What kinds of Personality type can Santiago be categorized according to the Enneagram theory?
- 2. How is the Personality development of Santiago according to the Enneagram theory?

#### 1.3 Objective of Research

Based on the research questions above, it has been clear that the objectives of research are to analyze and find out about Santiago's personality type and personality development in Paulo Coelho's *The Alchemist*.

#### 1.4 Uses of the Study

This research provides two significant uses namely theoretical and practical uses.

#### 1.4.1 Theoretical Uses

Theoretically, this research is expected to be useful not only for the next researchers but also the readers who feel interested in analyze other cases especially in *The Alchemist* novel.

#### 1.4.2 Practical Uses

Practically, this research will add the information and knowledge for the readers deal with personality type and personality development based on the application Enneagram theory of personality that proposed by Riso (1987).

## 1.5 Scope of the Study

In order to limit this research, the writer focus to analyze personality type and personality development of Santiago as the main character in *The Alchemist* written by Paulo Coelho, which published in 1988. The content of this novel cover 163 pages. One of novel has been inspired people all over the world to live their dreams, that present various personality type of character.

## Universitas Teknokrat Indonesia